February 1, 1995

Introduced by: von Reichbauer

Proposed No.: 94-237

ORDINANCE NO.

11679

AN ORDINANCE relating to the Department of Metropolitan Services and its divisions, and amending Ordinance 11032, Sections 6, 12, 20, and 21, and Ordinance 11241, Sections 8 and 13, and K.C.C. 4.08.260, K.C.C. 28.04.080, K.C.C. 28.24 and K.C.C.

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BE IT ORDAINED BY THE COUNCIL OF KING COUNTY:

SECTION 1. Ordinance 11032, Section 6, and Ordinance 11241, Sections 8 and 13, and K.C.C. 4.08, 260 are hereby amended to read as follows:

Funds created.

((A. The following funds are hereby established as King County first tier funds managed by the director, continuing Metro funds which were previously established for the purposes specified, and are added as new sections to Chapter 4.08 of the King County Code. -Water Quality Operating Fund, previously known as the "Municipality of Metropolitan Scattle Sewer Revenue Fund," created by Resolution No. 7, adopted by the Metro Council on November 26, 1958, to account for the revenues and operations of the water quality enterprise. -2. Water Quality Construction Fund, previously known as the "Municipality of Metropolitan Seattle Sewer Construction Fund, " created by Section 9 of Resolution No. 90, adopted by the Metro Council on May 18, 1961, to account for the proceeds of revenue bonds of the water quality enterprise. -3. Water Quality Revenue Bond Fund, previously known as the "Municipality of Metropolitan Scattle Sewer Revenue Bond

¹	Fund, - ereaced by beetlon to or Reboldton No. 30 02 Une 1000		
2	Council, to account for debt service payments and reserves of		
3	revenue bonds of the water quality enterprise.		
4	4. Public Transportation Operating Fund, previously		
5	known as the "Municipality of Metropolitan Seattle Public		
6	Transportation Revenue Fund, " created by Resolution No. 936,		
7	adopted by the Metro Council on June 1, 1967, to account for		
8	the operations of the public transportation enterprise.		
9	5. Public Transportation Construction Fund, previously		
.0	known as the "Municipality of Metropolitan Seattle Public		
.1	Transportation Construction Fund, " created by Resolution No.		
.2	2209, adopted by the Metro Council on October 17, 1974, to		
.3	account for capital improvement projects of the public		
.4	transportation enterprise.		
L5	6. Two tenths Sales Tax Revenue Receiving Fund,		
.6	previously known as the "Municipality of Metropolitan Seattle		
ا 7	Two tenths Sales Tax Revenues Receiving Fund, " to account for		
LB	the receipt of the two tenths percent sales tax as required by		
L9	Resolution No. 4937, adopted by the Metro Council on June 19,		
20	1986.		
21	7. Public Transportation Self-insurance Fund,		
22	previously known as the "Transit Self insurance Fund," to		
23	provide funds needed for the self insurance of the public		
24	transportation enterprise as provided by Resolution No. 4825,		
25	adopted by the Metro Council on March 30, 1986.		
26	8. Limited Sales Tax General Obligation Bond Fund,		
27	previously known as the "Municipality of Metropolitan Seattle		
28	Limited Sales Tax General Obligation Bond Fund, " to account for		

debt service on the limited sales tax general obligation bonds
of the public transportation enterprise, as provided in
Resolution No. 4937 of the Metro Council.))

A. There is hereby established a Water Quality Fund as a first-tier fund managed by the ((P))director of the ((P))department of ((M))metropolitan ((S))services. The Water Quality Fund shall account for the water quality enterprise. This fund shall include the following accounts:

1. The Water Ouality Operating Account, previously known as the "Municipality of Metropolitan Seattle Sewer Revenue Fund," created by Resolution No. 7, adopted by the Metro Council on November 26, 1958;

2. The Water Ouality Construction Account; previously known as the "Municipality of Metropolitan Seattle Sewer Construction Fund," created by Section 9 of Resolution No. 90, adopted by the Metro Council on May 18, 1961.

3. Water Quality Revenue Bond Account, previously known as the "Municipality of Metropolitan Seattle Sewer Revenue Bond Fund," created by Section 10 of Resolution No. 90 of the Metro Council.

4. Water Quality General Obligation Bond Account,
previously known as the "Water Quality Limited Tax General
Obligation Bond Fund," created by Section 8 of Ordinance 11241.

5. Second Water Ouality Construction Account,
previously known as the "Second Water Ouality Construction
Fund," created by Secion 13 of Ordinance 11242.
Restrictions on these accounts shall be the same as were
previously established by Metro Resolutions No. 7 and 90 and

Ordinance 11241. Balances that were previously held in these funds shall be continued in these accounts.

B. There is hereby established a Public Transportation

Fund as a first-tier fund managed by the ((D))director of the

((D))department of ((M))metropolitan ((S))services. The Public

Transportation Fund shall account for the public transportation

enterprise. This fund shall include the following accounts:

1. Public Transportation Operating Account, previously known as the "Municipality of Metropolitan Seattle Public Transportation Revenue Fund," created by Resolution No. 936, adopted by the Metro Council on June 1, 1967;

2. Public Transportation Construction Accounts,
previously known as the "Municipality of Metropolitan Seattle
Public Transportation Construction Fund," created by Resolution
No. 2209, adopted by the Metro Council on October 17, 1974.

3. Two-tenths Sales Tax Revenue Receiving Fund,
previously known as the "Municipality of Metropolitan Seattle
Two-tenths Sales Tax Revenues Receiving Fund," to account for
the receipt of the two-tenths percent sales tax as required by
Resolution No. 4937, adopted by the Metro Council on June 19,
1986.

4. Public Transportation Self-insurance Fund,
previously known as the "Transit Self-insurance Fund," to
provide funds needed for the self-insurance of the public
transportation enterprise as provided by Resolution No. 4825,
adopted by the Metro Council on March 30, 1986.

5. Limited Sales Tax General Obligation Bond Fund, previously known as the "Municipality of Metropolitan Seattle

Limited Sales Tax General Obligation Bond Fund, " to account for debt service on the limited sales tax general obligation bonds of the public transportation enterprise, as provided in Resolution No. 4937 of the Metro Council.

Restrictions on these accounts shall be the same as were previously established by Metro Resolution Nos. 936, 2209, 4937, 4825 and 4937. Balances that were previously held in these funds shall be continued in these accounts.

B. K.C.C. 4.08.060, 4.08.070, and 4.08.250 shall not be applicable to the Department of Metropolitan Services for a two year period beginning on January 1, 1994.

SECTION 2. Ordinance 11032, Section 12, and K.C.C. 28.04.080 (is) are hereby amended to read as follows:

Personnel system. Notwithstanding the provisions of King County Code Chapter 3.12, the following shall establish the personnel rules and shall define career service rules for the department.

- A. All provisions of K.C.C. Chapter 3.12 not referenced in this ordinance shall have no application to employees of the department. The rules set forth below in this section, together with the referenced provisions of K.C.C. Chapter 3.12 and executive rules promulgated (to this ordinance, shall constitute the personnel system for the department.
- B. When an employee of another county ((the)) department is placed into a position in ((another county)) the department, that employee shall be subject to the personnel system of the department. When an employee of the department is placed into a position in another county department, that employee shall be

subject to the county personnel system as defined in King
County Code Chapter 3.12. However, all King County employees
will retain their <u>years of service and</u> previously accrued
vacation and sick leave balances when they are placed into
positions in ((new)) other county departments but thereafter
shall accrue at the rate applicable to the new position in
accordance with K.C.C. 3.12.

- C. All provisions of Chapter 3.04 K.C.C., Employee Code of Ethics shall apply to employees of the department.
- D. The following provisions of Chapter 3.12 K.C.C. and the related definitions in K.C.C. 3.12.010 shall apply to employees of the department:
 - 1. K.C.C. 3.12.020(B) and (C), regarding nepotism;
- 2. K.C.C. 3.12.150, regarding compensation other than salary and wages;
- 3. K.C.C. 3.12.170, as amended herein, regarding equal pay for equal work;
 - 4. K.C.C. 3.12.310, regarding tenure;
 - 5. K.C.C. 3.12.320, regarding retirement; and
- 6. K.C.C. 3.12.340, regarding employer/employee relations.
- E. The following provisions of Chapter 3.08 K.C.C. and Chapter 3.12 K.C.C. shall apply to career service employees:
 - 1. K.C.C. 3.08, regarding personnel board; and
 - 2. K.C.C. 3.12.290, regarding personnel board appeals.
 - F. General Provisions.
- 1. Administration. Notwithstanding the provisions of K.C.C. 2.16.030, the manager of the human resources division of

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the department, as the director's designee, is responsible for the implementation of personnel policies and rules, and other personnel functions in the department. The director shall adopt personnel rules to implement this ordinance.

- The department shall operate its Merit Principles. human resources programs for the career service consistent with the following merit principles by:
- Recruiting, selecting and promoting employees on the basis of their relative ability, knowledge and skills, including open consideration of qualified applicants for employment;
 - Providing equitable and adequate compensation; b.
- Training employees in accordance with the department's training and employee development policies, including tuition reimbursement;
- Retaining employees on the basis of ((the adequacy of their performance)) merit, attempting to correct inadequate performance, and separating employees whose inadequate performance is not corrected;
- Assuring fair treatment of applicants and employees in all aspects of human resources administration in accordance with the department's Affirmative Action Plan without regard to political affiliation, marital status, race, color, national origin, sex, sexual orientation, age, handicap or religious affiliation and with proper regard for their privacy and constitutional rights as citizens; and
- Assuring that employees are protected against coercion for partisan political purposes and are prohibited

from using their official authority for the purpose of interfering with or affecting the result of an election or a nomination for office.

- 3. Performance Evaluation. A formal review of the work performance of every regular and limited-benefit nonrepresented employee shall be conducted in accordance with performance evaluation policies.
 - G. Employment/Personnel Principles.
 - 1. Hiring and Promotion for Career Service Employees.
- a. Appointing authorities and supervisory personnel will base personnel decisions and actions for career service employees solely on merit and competence and in accordance with the affirmative action plan described in (Section 18 of this ordinance) K.C.C. 28.16, so that optimum results may be achieved from available human and budgetary resources.
- b. Managers/designees are responsible for initiating human resources actions and the placement or disposition of employees under their supervision in accordance with these personnel rules and any implementation procedures. The human resources division will develop and maintain a record-keeping system which documents an employee's personnel history with the department.
- c. The human resources division is solely responsible for establishing and maintaining recruitment, interviewing, testing, referral and hiring processes. When appropriate, the human resources division shall be responsible for making appointments.

- resources division will consult with the appointing authority to determine the recruitment process. The human resources division will review and evaluate applications and related records, and conduct testing and interviews as appropriate according to pre-established job related evaluation and refer names of competitive candidates and their records to the appointing authority. The appointing authority and the human resources division will interview the candidates referred and the appointing authority will make a selection or will request additional candidates if necessary. The successful candidate shall then be referred to the human resources division for completion of processing and orientation.
- (2) A vacancy may be filled through employee transfer, demotion or promotion outside the normal recruitment process. Such action requires prior approval from the human resources division.
- (3) An employee who separates in good standing may be rehired during a period of two (2) years to a position of the same classification, or in a lower classification in the same series, without a written or competitive exam.
 - 2. Probation for Career Service Employees.
- a. Probationary Period (Initial). Each employee in a regular or limited-benefit position must actively serve a six (6) month probationary period except that those in a FLSA-exempt position must actively serve a one (1) year probationary period. An employee is not required to serve an additional probationary period when the employee returns to a

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classification in which the probationary period has previously been completed.

- b. Probationary employees may not file grievances under ((Section 13.F.8 of this ordinance)) K.C.C. 28.04.020; provided, however, a probationary employee who claims that published rules, regulations or policies have not been properly applied may request that the manager of the human resources division review this claim.
- employees, are employed "at will", and may be separated from employment at the sole discretion of the appointing authority at any time during their probationary period without right of appeal under ((Section 13.F.8 of this ordinance)) K.C.C.

 28.04.020. The human resources division will review and approve a probationary employee's separation prior to the separation. A probationary employee may request a review of his/her separation from the next level of supervision. This request must be made in writing within ten (10) days after receiving notification of separation.
- 3. Recall for Career Service Employees. Recalled employees are eligible to receive credit for the balance of their sick leave and may maintain their rate of vacation accrual at the time of layoff. Employees returning from laid off status later than two (2) years from the date of their layoff shall not receive credit for the balance of their sick leave or maintain their rate of vacation accrual at the time of lay off.

- 4. Restoration to Career Service. After January 1,
 1994, any DMS career service employee who accepts a transfer or
 promotion to a position which is exempt from career service
 shall, upon separation in good standing from the exempt
 position, be allowed to re-enter career service at a position
 comparable in terms of responsibilities and salary or wage
 (including normal cost-of-living increases) to the career
 service position formerly held by the employee, provided, that:
- a. The right to restoration is exercised within four calendar years from the effective date of the transfer or promotion to an exempt position; and
- b. The former appointing authority, at his or her discretion, approves such restoration within the limits of available authorized positions; or
- c. A different appointing authority, having jurisdiction over comparable authorized positions, at his or her discretion approves such restoration within the limits of available authorized positions.
- 5. Reinstatement to Career Service. Any career service employee who separates in good standing may be reinstated in the same classification or in a lower classification in the same occupational series if such reinstatement is within two years after separation service and if the employee meets the current minimum education, experience, physical, and medical qualifications. All such employees must serve a probationary period and shall be eligible to receive credit for the balance of their sick leave and may maintain their rate of vacation accrual at the time of separation from employment. An

applicant for reinstatement will not be referred for the same class to the same appointing authority or designee more than once unless specifically requested by an appointing authority.

- 6. Hours of Work. Forty (40) hours constitutes the normal full-time work week and two thousand eighty-eight (2,088) hours constitutes the normal full-time work year.
 - 7. Types of Appointments.
- a. Employees who are appointed to a position that is regularly scheduled to work forty (40) hours per week and is intended to last for more than six (6) months are eligible for full employee benefits.
- b. Employees who are appointed to a position that is regularly scheduled to work for less than forty (40) hours but more than twenty-one (21) hours per week and is intended to last for more than six (6) months are eligible for full insurance coverage and other appropriate benefits on a pro-rata basis.
- c. Employees who are appointed to a position that is scheduled to work less than twenty-one (21) hours per week are only eligible for a transit pass and participation in the pension plan upon meeting eligibility requirements; provided, however, that full-time regular employees who reduce their regularly scheduled hours to less than twenty-one (21) hours per week as part of a human resources division designated and approved job share arrangement shall continue to be eligible for the sick leave, vacation and holiday provisions of this ordinance on a pro-rata basis.

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Employees who are appointed to a position that is scheduled to work forty (40) hours per week and is intended to last for less than six (6) months are only eligible for a transit pass and participation in the pension plan upon meeting eligibility requirements. Temporary employees are not career service employees and are employed "at will" and may be separated at the sole discretion of the appointing authority at any time without right of appeal.

- Employees who are appointed to a position that is scheduled to work less than forty (40) hours but more than twenty-one (21) hours per week and is intended to last for less than six (6) months are only eligible for a transit pass and participation in the pension plan upon meeting eligibility requirements.
 - 8. Disciplinary Action for Career Service Employees.
- General Provisions. When a regular or limitedbenefit employee's performance has fallen below an acceptable level, the appropriate supervisor must discuss the problem with the employee.
- Grounds For Discipline. Disciplinary action may be taken for the reasons including, but not limited to:
- Unsatisfactory work performance (which may include but is not limited to incompetence, inefficiency, or neglect of duty);
 - Misappropriation or misuse of county property;
 - Insubordination; (3)

- (4) Commission of any crime while on duty or commission of any crime off-duty which is job-related or involves moral turpitude;
 - (5) Malfeasance;
- (6) Gross misconduct (which may include, but is not limited to, wrongdoing by an employee or mistreatment or abuse of fellow workers or the public);
 - (7) Sexual harassment;
- (8) Violation of published department rules, regulations and policies;
 - (9) Conflict of interest;
 - (10) Abuse of sick leave;
- (11) Violations of the King County Code of Ethics, K.C.C. Chapter 3.04.
- c. Types of Disciplinary Action. Depending upon the nature of the problem, the appropriate supervisor may take any one or more of the following actions:
- (1) Oral Reprimand. An oral reprimand may be given to an employee whenever such action is appropriate. A written record of this action will be kept in the employee records which are maintained at the employee's work site.
- written reprimand when a previous oral warning or warnings have not resulted in the expected improvement or when more severe initial action is warranted. A copy of each written reprimand will be kept in the employee records, which are maintained at the employee's work site, and official personnel file.

employee's supervisor may postpone a regularly scheduled salary increase if the employee's work performance is less than satisfactory. This action will be accompanied by a written notice which includes the specific reason(s) for the action. When the employee's performance returns to an acceptable level, the employee will be notified in writing and a salary increase may then be implemented. Prior written approval of the decision to postpone and to implement an increase after postponement must be obtained from the division manager and the human resources division.

- may reduce an employee's regular pay when the employee's work performance is less than satisfactory. This action will be accompanied by a written notice which states the specific reason(s) for the action. When the employee's performance returns to an acceptable level, the employee will be advised in writing and the employee's previous salary may be reinstated. Prior written approval of the decision to reduce pay and reinstate pay after reduction must be obtained from the division manager and the human resources division.
- (5) Performance Probation. When an employee's work performance is less than satisfactory, the employee's supervisor may place the employee on probation. Before the probationary period can begin, the employee must receive written notice of the following:
- (a) Specific reason(s) for this action and the duration of the probation;

- (b) Specific performance standards/criteria that the employee must meet, including performance indicators that will allow the employee to demonstrate that he/she can achieve and maintain satisfactory performance; and
- (c) Notice that failure to achieve satisfactory performance by the conclusion of probation could result in discharge. Prior written approval of this process must be obtained from the division manager and the human resources division. (This disciplinary action is different from the initial "probationary period" as defined in Sections 11 and 12.G.2 of this ordinance.
- (6) Suspension. An employee may be suspended from duty without pay for any of the reasons listed in ((Section (12.G.8.b of this ordinance)) K.C.C. 28.04.050B. The employee will receive a written notice that will include the reason(s) for the suspension. Employees may be suspended for up to ninety (90) days. This action requires the prior review of the human resources division unless the situation requires immediate action, in which case the human resources division will review the action after it is taken. FLSA-exempt employees may be suspended only in increments of full work weeks.
- (7) Demotion. An employee may be demoted by the employee's supervisor for any of the reasons listed in ((Section (12.G.8.b of this ordinance)) K.C.C. 28.04.050B. The employee will receive a written notice that includes specific reason(s) for the demotion at least fourteen days prior to the

effective date of the proposed action. This action requires the prior approval of the human resources division.

- benefit employee may be discharged by the employee's supervisor for any of the reasons listed in ((Section 12.G.8.b of this ordinance)) K.C.C. 28.04.050B. Temporary employees are exempt from career service and are employed "at will" and may be discharged at any time without regard to the listed reasons and without right of appeal. Regular and limited-benefit career service employees will receive a written notice that includes the specific reason(s) for the discharge thirty (30) days prior to the effective date of discharge. The employee's supervisor will determine the employee's status during the thirty (30) day period. The employee may be kept on the job, placed on leave or suspended without pay. Prior approval of this action must be obtained from the human resources division.
- 9. Employment Separations. Any job separation for a career service employee will be designated as follows:
- a. Resignation. An employee who voluntarily resigns must notify his/her supervisor at least fourteen (14) days before the last scheduled day of work. Whenever this requirement is not complied with, the employee's record shall so indicate and the employee will not be eligible to receive payment for any unused accrued vacation leave, unless the supervisor has waived the notice requirement in writing.
- b. Layoff. Temporary employees can be laid off at any time for any reason. Regular and limited-benefit employees may be laid off because of a lack of funding, lack of work,

changes in the structure of the organization, modification of the type of work performed resulting in a change in job classification, or for other reasons which do not reflect on an employee's work performance. A layoff is a non-disciplinary, involuntary job separation. The order of layoff within job classifications in the relevant work unit will be conducted on the basis of merit. Nonrepresented regular and limited-benefit employees will be given written notice at least fifteen (15) days before the effective date of the layoff. Layoffs require the prior approval of the human resources division manager.

Employees who have been laid off can compete for appropriate vacant positions within King County.

- c. Death. Separation will be effective as of the date of death.
 - d. Retirement.
- e. Disciplinary Discharge. Grounds for discharge are covered in ((Section 12:G.8.b of this ordinance) K.C.C. 28.04.050B.
- f. Nondisciplinary Discharge. Any nondisciplinary job separation which occurs when an employee fails to meet a condition of employment (this includes but is not limited to loss of required licenses, certificates, or United States work authorization; inability to safely and fully perform job duties; and an inability to attend work regularly).
- 10. Grievances and Appeals. The following provisions apply to grievances and appeals for regular career service employees.
 - a. Career Service Grievances.

(1) A regular nonrepresented employee who has completed the probationary period may file a written grievance claiming that published personnel rules, regulations or policies of the department have not been properly applied.

Demotions and discharges are not subject to the grievance procedure but only to the appeal process set forth in ((Section (12.G.10.b of this ordinance) K.C.C. 28.04.060B. The written grievance must contain:

- (a) a description of the acts in question and the dates of their occurrence;
 - (b) the name(s) of the individual(s) involved;
- (c) the specific personnel rule(s), regulation(s)
 or policy(ies) which were not properly applied; and
 - (d) the desired remedy.
- to his/her immediate supervisor within ten (10) days of the occurrence of the act being grieved. The supervisor and the employee will then meet within ten (10) days to discuss the grievance. The supervisor will confer with the manager of the human resources division and then issue a written decision within ten (10) days of the meeting with the employee. If the employee is not satisfied with the decision and wants to pursue the matter, the employee must submit the grievance to the next level of supervision within ten (10) days of the date of the immediate supervisor's written decision.
- (3) The employee may pursue the grievance through each successive level of supervision using the process and time limits as stated above. This process may continue until the

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grievance is resolved to the employee's satisfaction or until the division manager has heard the grievance. The division manager's decision will be final and binding upon all parties involved, unless the employee appeals to the personnel board, pursuant to K.C.C. 3.12.290, and the grievance falls within the jurisdiction of the personnel board.

- b. Career Service Appeals. A regular non-represented employee who has completed his/her probationary period may appeal a demotion or discharge as outlined below.

 Probationary discharges are not subject to the appeal process.

 The appeal must be presented in writing and must include the specific reasons why the employee believes the action is not justified.
 - (1) Appeal Process.
- (a) An employee may submit a written request for a review of the action within ten (10) days of the date of the discharge/demotion notice. Requests must be submitted to the employee's immediate supervisor who will forward the request to the division manager or his/her designee. This request must include the specific reason(s) why the employee believes the action is not justified.
- (b) If no timely written request for review is received, the discharge/demotion notice constitutes the final action demoting or discharging the employee. The employee's services will be revised or terminated as of the date specified in the notice.
- (c) If a timely written request for review is received, the division manager or his/her designee will meet

with the employee to allow the employee an opportunity to present his/her position prior to the end of the thirty (30) day period outlined in the written notice of discharge/demotion. After this meeting a written decision upholding or overturning the action will be issued by the division manager within the same thirty (30) day period.

- (d) If the decision is to uphold the action, the employee may appeal to the personnel board pursuant to K.C.C. 12.290.
 - 11. Employee Access to Personnel Files.
- a. Personnel records for all current employees shall be maintained in the human resources division and at the employee's work site. The file maintained by the human resources division is the employee's permanent personnel file. The file maintained at the employee's work site is the work location or base file. Upon termination of employment from the department, the employee's work location or base file shall be forwarded to the human resources division for inclusion in the employee's permanent personnel file.
- b. Employees may review their personnel and/or work location files by appointment with the human resources division or supervisor. However, employees shall not remove their personnel and/or work location files from the areas where they are maintained. Employees will be provided with copies of any documents in their personnel and/or work location files upon request and within a reasonable period of time.
- c. Employees who have an objection to any documents contained within their personnel and/or work location file may

requests to the human resources division in the case of personnel files and to their immediate supervisor in the case of work location files. If the request to remove a document(s) is refused, the employee may prepare a statement of rebuttal or correction which will then be placed in the employee's file(s).

Vacation. Eligible regular employees will accrue н. vacation days with pay according to the following schedule: TABLE FOR FULL VACATION ACCRUAL

COMPLETED YEARS OF ACTIVE SERVICE*	MAXIMUM HOURS PER YEAR	MAXIMUM HOURS PER PAY PERIOD**
Less than 1		3.680
1	96	3.680
2	96	3.680
3	96	3.680
4	96	3.680
5	120	4.600
6	120	4.600
7	120	4.600
8	128	4.905
9	128	4.905
10	160	6.135
11	160	6.135
12	160	6.135
13	160	6.135
14	160	6.135
15	160	6.135
16	168	6.440
17	176	6.745
18	184	7.050
19	192	7.360
20	200	7.665
21	208	7.970
22	216	8.280
23	224	8.585
24	232	8.890 '
25	240	9.200

*The applicable vacation accrual rate will be based upon completed years of active service since the employee's most recent date of hire with the department.

**Based upon the assumption that an individual has eighty (80) paid hours in a pay period. Except as may otherwise be required by the FLSA, if the employee has less than eighty (80) paid hours, the employee will accrue pro rata vacation based on actual paid hours. Paid hours include the following types of pay: regular time, vacation time, sick leave pay, funeral leave pay, court duty pay, military pay, holiday pay, personal holiday pay, and compensatory time taken.

- 1. Employees who have been laid off and are recalled within two (2) years from the date of layoff will maintain their vacation accrual rate in effect at the time of the layoff.
- 2. Use of vacation hours must be approved by the employee's supervisor and scheduled so as to least interfere with the function of the work unit. Vacation time may not be taken during the first three (3) months of employment.

 Employees with less than six (6) months of continuous employment who leave the employ of the county will forfeit any vacation time earned. Employees with more than six (6) months of continuous employment who leave the employ of the county in good standing will receive pay at their current rate of pay for any unused vacation time earned up to their separation date.
- 3. Employees may accrue a maximum of four hundred and eighty (480) hours of vacation. No additional vacation will be accrued unless the employee is prevented by the department from taking vacation. The appropriate division manager must give written permission for the employee prior to the accrual of vacation in excess of four hundred and eighty (480) hours.

This excess vacation must be taken within six (6) months of the date the excess accrual was permitted. 2 3 I. Holidays. Eligible regular full-time employees will be granted 4 the following holidays as days off with regular pay for eight 5 (8) hours. Regular part-time employees will be granted the 6 following holidays as days off with pay prorated on the basis 7 of their percentage of time worked in their regular work week. 8 All employees must be on pay status the workday immediately 9 preceding and immediately following a holiday in order to be 10 eligible for holiday pay. 11 New Year's Day; 12 a. Martin Luther King Jr. Day; 13 b. Lincoln's Birthday; 14 c. Washington's Birthday; d. 15 Memorial Day; 16 e. Independence Day; f. 17 Labor Day; 18 g. Veteran's Day; 19 h. Thanksgiving Day; 20 i. The day immediately following Thanksgiving Day; 21 j. and 22 Christmas Day. 23 The above holidays will be observed on days 24 established annually by the department. A regular employee 25 required to work on any of the eleven (11) days of holiday 26

observance listed above will be compensated as follows:

a. Employees who are not exempt from FLSA and who are required by their supervisor to work on one of the above days of holiday observance will receive eight (8) hours of straight time holiday pay. In addition, they can elect to receive compensation for the actual time worked in one of the following ways:

- (1) Compensatory time equal to the actual number of hours worked will be added to the employee's compensatory time bank; or
- (2) The employee will be paid for the actual number of hours worked at the rate of time and one-half (1-1/2) the employee's hourly rate of pay.
- b. FLSA-exempt employees who are required by their supervisor to work on one of the above days of holiday observance will receive compensation pursuant to executive policy for FLSA-exempt employees in compliance with the Washington State Minimum Wage Law and the federal Fair Labor Standards Act.
- c. When any of the days of holiday observance listed in Section 12.I.1. of this ordinance falls on one of the employee's regularly scheduled days off and the employee is not required to work on the holiday, the employee's compensatory time bank will be credited with eight (8) hours of compensatory time.
- d. In addition to the holidays specified in ((Section 12.I.1. of this ordinance)) K.C.C. 28.04.090A, each eligible regular full-time employee with three (3) months or more of continuous employment may choose one (1) personal holiday per

calendar year without reduction in pay. The day selected must be approved by the employee's supervisor at least two (2) weeks in advance, unless waived by the supervisor. If an employee is prevented by the department from using this personal holiday within the calendar year, the day will be cashed out at the end of the year. Employees required to work on the day scheduled as their personal holiday will not receive holiday pay and the personal holiday will be rescheduled.

- J. Sick Leave. The sick leave procedures referenced in this section are only applicable to regular employees.

 Eligible employees will accrue sick leave at the rate of .046 hours for each hour on pay status exclusive of overtime up to a maximum of eight (8) hours per month.
 - 1. Sick leave may be used for the following reasons:
- a. The employee's bona fide illness or incapacitating injury;
- b. The employee's medical or dental appointments; provided that the employee's supervisor has been notified of and has approved the use of sick leave for such appointments;
- c. A female employee's temporary disability caused by or contributed to by pregnancy and childbirth;
- d. To care for the employee's child or the child of an employee's domestic partner if the following conditions are met:
 - (1) The child is under the age of eighteen (18);
- (2) The employee is the natural parent, stepparent, adoptive parent, legal guardian or other person having legal custody and control of the child;

(3) The employee's child or the child of an employee's domestic partner has a health condition requiring the employee's personal supervision during the hours of his/her absence from work;

- (4) The employee actually attends to the child's care during the absence from work.
- 2. Sick leave usage for emergency care of a member of the immediate family who resides with the employee shall be limited to five (5) days per calendar year.
- 3. When an employee has exhausted his/her accumulated sick leave, the employee's supervisor may permit the use of accrued vacation but is not required to do so.
- 4. Upon the employee's death or retirement from Metro with a pension from a retirement plan contributed to by Metro, an employee (or beneficiary or estate) will receive payment for thirty-five percent (35%) of the employee's accrued sick leave benefits. In all other cases, accumulated sick leave will be forfeited upon separation from employment; provided, however, employees who have been laid off and are recalled into the same classification within two (2) years from the date of layoff will have their sick leave balance restored to the amount in effect at the time of layoff.
- K. Leaves of Absence With or Without Pay. Only nonrepresented regular employees will be eligible for the . leaves of absences referenced in this section.
- 1. Funeral Leave. In the event of the death of a close relative, eligible employees will be granted two (2) days' paid leave to attend the funeral or memorial service. One additional

day will be granted when the funeral or memorial service is held at a distance of 100 miles or more from Seattle. While on funeral leave, employees will be paid their regular rate of pay for the work day(s) missed.

- a. In addition to the authorized funeral leave, employees may use up to three (3) days of accumulated sick leave for funeral leave purposes, upon the approval of their supervisor.
- b. A close relative for the purposes of funeral leave is defined as the spouse/domestic partner and children of an employee, spouse/domestic partner; mother, father, brothers, sisters, and grandparents of an employee; and, mother, father, brothers, sisters, children and grandparents of an employee's spouse/domestic partner. Funeral leave for persons other than those listed may be granted by a supervisor where a significant family relationship exists.
- 2. Court Leave. All employees will be allowed necessary leave to serve on the jury of a federal, state or municipal court. Eligible employees who are subpoenaed to appear as a witness in court for a case in which the county is not a party will be allowed necessary leave; provided they are neither a party nor the representative of a party in a court action. Eligible employees, upon receiving notification to report to serve on jury duty or when subpoenaed, must notify their supervisor immediately. Eligible employees who are impanelled for jury duty or subpoenaed will receive their regular rate of pay, not to exceed eight (8) hours per day for each scheduled workday served, provided they submit to the

department any compensation received for these functions.

Money received as specific reimbursement for travel expenses will be refunded to the employee.

- a. Employees who are excused from jury duty during the hours that they are regularly scheduled to work must notify their supervisor immediately and may be required to report to work.
- 3. Military Leave. An eligible employee who is a member of the Washington National Guard or any organized reserve of the armed forces of the United States and required by such membership to be on active duty, or active duty for training purposes, will be allowed fifteen (15) working days of military leave during each calendar year.
- a. For all days missed up to a maximum of fifteen (15) days, which would have been the employee's regularly scheduled day(s) to work, the employee will be paid his or her regular rate of pay. Employees must file a copy of their official orders and an affidavit of performance with their immediate supervisor in order to be paid.
- b. In addition, an employee will be allowed a leave of absence without pay and without loss of position for active duty for training purposes in excess of fifteen (15) working days or for active duty enlistment not to exceed four (4) years. Such employee will be eligible for reinstatement provided the employee is able to perform the duties of the former position and his/her application for reinstatement is received by the department within ninety (90) days after termination of the employee's period of active duty or period

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of hospitalization continuing after discharge for a period of not more than one (1) year. Reinstated employees will receive credit for the unpaid balance of their sick leave and will maintain their rate of sick leave and vacation accrual in effect at the start of their leave.

- c. An employee who leaves a position to volunteer for the National Guard or armed forces and is not accepted will be reinstated, provided an application is received by the department within thirty (30) days from the date of rejection.

 All matters relating to military leave will be handled in accordance with applicable state and federal law.
- d. Any employee who upon demand by the United States Government vacates his or her full-time position with the department either to determine his or her physical fitness to enter, or to actually enter upon active duty or training in the Washington Natural Guard, the United States Armed Forces, or the United States Public Health Service shall receive medical, dental and life benefits for the time period commencing with the beginning of the employee's military leave of absence and continuing until active duty has been completed. These employees shall continue to receive the medical, dental and life benefits that they received prior to separation from employment with the department.
- 4. Sabbatical Leave. All eligible full-time employees will be eligible for an unpaid sabbatical leave of absence upon completion of eight (8) rears of active service with the department. Such empless may request sabbatical leave for a minimum of six (6) more and up to one (1) year for the

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purpose of pursuing personal growth and development opportunities. During this period of time an employee is still subject to all applicable rules and regulations including the King County Code of Ethics.

- a. Sabbatical leaves cannot be requested in conjunction with other types of leave. Requests for sabbatical leaves must be made ninety (90) days in advance of the requested leave. The decision to grant such leaves is at the discretion of the department depending upon the workload and staffing requirements of the division.
- b. Employees granted sabbatical leaves have the option to continue insurance benefits received prior to the commencement of their leave by paying the appropriate premium rates.
- 5. Leave of Absence Without Pay. A leave of absence without pay for other than military leave may be granted to an eligible employee by the appropriate manager. Employees must request a leave of absence without pay in writing and present the request to their supervisor. The employee must use all accumulated vacation and compensatory time before being granted a leave of absence without pay. However, if in the judgement of the manager/designee there is a serious medical reason for the leave, the employee does not have to use accumulated vacation and compensatory time prior to being granted a leave of absence without pay.
- a. A leave of absence without pay will terminate at the end of the period granted. Except for military leave, in

no case may a continuous leave of absence without pay be granted for a period longer than one (1) year.

- b. Except as allowed for military leave or as may be required by applicable federal or state law, an employee on leave of absence without pay will not qualify for paid employee benefits during the leave period if the leave is for thirty (30) or more days. The employee's supervisor must notify the department's benefits and records section immediately of any leave of absence in excess of thirty (30) days.
- c. The department will reinstate employees in their former classification at the end of a leave of absence without pay provided the employees are able to perform the duties of their positions. Employees on leave will be subject to layoff to the same extent as active employees. Failure to return from leave of absence without pay on the specified date will constitute a resignation from the county.
- L. Bus Passes. Department employees and those retired from the department or its predecessor agencies shall be issued a pass entitling the holder thereof to ride without payment of fare on coaches operated by the county. Such passes shall be restricted to use only by the employee or retired employee and unauthorized use shall result in forfeiture of the pass for one calendar year. The transit operating fund shall be reimbursed by other appropriate funds for bus passes provided to employees other than transit division employees or those hired in support of transit operations.
- M. Notwithstanding the provisions of K.C.C. 3.12.330, the executive shall be responsible for administration of the

personnel system for the department in accordance with the policies and standards set forth in this ordinance. As the executive's designee, the director shall be responsible for the implementation of the personnel system for the department, including negotiation of labor contracts consistent with the guidance provided by the Labor Policy Committee and the department's affirmative action program, and shall be responsible for all applicable affairs in which there has not been a formally defined relationship by virtue of council action or administrative guideline.

N. Notwithstanding the provisions of K.C.C. 2.16.030, the director shall be responsible for advising the county executive and council on matters of labor policy affecting the department and for negotiating collective bargaining agreements with recognized bargaining agents within the parameters established by the Labor Policy Committee, for approval by the council.

SECTION 3. Ordinance 11032, Section 20, and K.C.C. 28.24

are ((is)) hereby amended to read as follows:

Procurement and contracting procedures.

- A. The director shall ensure that equipment, materials, supplies and services are procured efficiently and economically, with maximum practicable competition, and in compliance with the King County Charter, this section and applicable state and federal laws and regulations.
- B. Equipment, materials, supplies and services purchased or work ordered for the department, the estimated cost of which is not more than \$25,000, and leases and purchases involving special facilities or market conditions, and purchases which

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are clearly and legitimately limited to a single source of supply may be acquired or ordered by the county executive or the director, if designated by the county executive, whenever deemed to be in the best interest of the department. Such purchases must be made within budget appropriations or other council authorization consistent with the charter, this section, K.C.C. 4.04.040, and applicable state and federal laws and regulations.

- The competitive bidding procedures of the department shall be as follows:
- Contracts for equipment, materials, supplies, and non-professional services, the estimated cost of which is in excess of \$25,000, shall be awarded pursuant to competitive sealed bidding as provided in this section and under the following conditions:
- time permits the solicitation, submission and evaluation of sealed bids;
- award will be made on the basis of price and other price-related factors;
- it is not necessary to conduct discussions with the responding bidders about their bids; and
- there is reasonable expectation of receiving more than one sealed bid.
- The director shall cause a notice inviting sealed bids to be published in a newspaper of general circulation throughout King County at least once a week for two consecutive weeks, the first publication of which shall be not less than ten days before letting of such contract. The plans and

specifications for such work or equipment, materials, supplies, and non-professional services must at the time of publication of such notice be on file with the director and open to public inspection. The notice shall state generally the work to be done or equipment, materials, supplies, and non-professional services to be purchased and shall call for bids for doing the same to be sealed and filed with the department on or before the day and hour specified. The notice may be published in such additional newspapers or magazines and for such additional period of time as the director shall deem to be in the best interest of the department.

Each bid shall be accompanied by a bid guarantee payable to King County for a sum not less than five percent of the amount of the bid; provided, said guarantee shall be in such form as may be established or approved by the director; and, provided further, said guarantee requirement may be waived by the director if he/she deems such action necessary to promote participation in the bidding and such action is consistent with applicable federal or state laws and regulations. The director may further require a performance/payment bond on the accomplishment of its public works, or the purchase of supplies and materials and the performance of necessary work or services; provided, the bond shall be payable to the order of King County, shall be in such form as may be established or approved by the director, and shall be in an amount not less than twenty-five percent of the contract price.

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- Any bids received shall be considered as offers to contract with King County. At the time and place named, bids received by the department shall be publicly opened and read and the director shall require the bids to be analyzed and make his/her recommendation. Minor irregularities in bid form may be waived.
- Contracts shall be awarded on the basis of the best bid. Any bid or any portion of any bid and/or all bids may be rejected. In determining "best bid", in addition to price, the following elements shall be given consideration:
- The ability, capacity and skill of the bidder to perform the contract or provide the service required;
- The character, integrity, reputation, judgment, b. and efficiency of the bidder;
- c. Whether the bidder has the financial resources and experience to perform the contract properly and within the times specified;
- The quality and timeliness of performance by the bidder of previous contracts with the department, other county agencies and other local governments and state and federal agencies, including but not limited to, the relative costs, burdens, time and effort necessarily expended by the department or such governments and agencies in securing satisfactory performance and resolving claims;
- The previous and existing compliance by the bidder with laws relating to public contracts or services, including, but not limited to, minority and women business enterprise and equal employment opportunity requirements;

other public or private projects; and
g. Such other information as may be secured having a
bearing on the decision to award the contract. The bid of any

litigation on prior projects involving the department or on

The history of the bidder in filing claims and

bidder may be rejected whose performance under a previous contract, even though finally accepted, imposed additional costs or burdens upon the department or other county agencies

in obtaining satisfactory performance and resolving claims.

D. If soliciting competitive sealed bids is not appropriate under the conditions described in this section, equipment, materials, supplies, non-professional services, and work purchased and ordered, the estimated cost of which is in excess of \$25,000, shall be let by contract under the following competitive sealed proposals procedures.

1. The director shall cause a notice inviting statements of qualifications and/or proposals to be published in a newspaper of general circulation throughout King County at least once a week for two consecutive weeks, the first publication of which shall be not less than ten days before letting of such contract. The notice shall state generally the work to be done or equipment materials, supplies, or non-professional services to be purchased and shall call for statements of qualifications and/or proposals to be submitted to the department on or before the day and hour named therein. The notice may be published in such additional newspapers or magazines and for such additional period of time as the director shall deem to be in the best interest of the

department. The request for statements of qualifications and/or proposals shall state the relative importance of price and all other evaluation factors, including but not limited to the elements listed in this section for evaluating competitive sealed bids.

- 2. Discussions may be conducted with responsible offerors to determine which proposals should be evaluated in more detail and/or which offerors should be requested to submit best and final offers in evaluating proposals. The department may request clarifications and consider minor adjustments in the proposals in order to better understand the proposals and to qualify them for further consideration; provided, that information discussed or obtained from one offeror shall not be disclosed to competing offerors during the discussions and negotiations. Except to the extent protected by state and federal laws, proposals shall be considered public documents and available for review and copying by the public after an award of contract recommendation is made.
- 3. Award shall be made to a responsible offeror whose proposal is determined to be the most advantageous to the department, taking into consideration price and the other established evaluation factors.
- E. The director is hereby authorized to establish procedures for qualifying equipment, materials, supplies and non-professional services prior to procurement of such items.

 Under such procedures, only equipment, materials, supplies and non-professional services that are determined to meet the

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qualifying criteria will be acceptable in the subsequent procurement.

- The director is hereby authorized to establish and maintain a small works roster pursuant to Chapter 39.04 RCW and King County Charter Section 815.
- The small works roster shall consist of all responsible contractors who have requested to be included on the roster and who are properly licensed and registered as may be required by the laws of the state of Washington. works roster may make distinctions between contractors based on geographic areas served and the nature of the work the contractor is qualified to perform.
- At least twice every year, the department shall advertise in a newspaper of general circulation throughout King County the existence of the small works roster, shall solicit the names of contractors for such roster, and shall add to the roster those contractors who request to be included and who are properly licensed and registered to perform such work.
- Contracts for public works or improvements estimated to cost \$25,000 to \$100,000 shall be exempt from the requirements for advertisement and competitive bid set forth in this section. In lieu of advertisement and competitive bid, the department shall solicit quotations, confirmed in writing, from contractors on the small works roster for the category or job type involved and shall award the work to the responsible contractor with the lowest quotation or reject all quotations. Such solicitation shall include an estimate of the scope and nature of the work to be performed, and materials and equipment

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to be furnished. Whenever possible, at least five contractors shall be invited to submit buds.

- The department shall solicit quotations randomly from contractors on the small works roster in a manner which will reasonably distribute the opportunity for these contracts among the contractors on the roster; provided, that whenever possible, the department shall solicit quotations for each project from at least one women and one minority business enterprise, which have been certified as such by the Washington State Office of Minority and Women Business Enterprise and are on the roster; and, provided further, that such contracts may be reserved solely for competition among certified minority and women business enterprises consistent with minority and women business enterprise utilization policies established by the council. Once a contractor has been afforded an opportunity to submit a proposal, that contractor shall not be afforded another opportunity until all other contractors on the small works roster have been afforded an opportunity to submit a proposal on a contract.
- 5. Immediately after an award is made, the quotations obtained shall be recorded, open to public inspection and available by telephone inquiry.
- 6. The director shall report annually to the executive on the efficacy of the small works roster program and its effect on participation by minority and women business enterprises.
- G. Spare parts, replacement parts and maintenance contracts for existing equipment and systems where only

proprietary items and services are compatible with the existing equipment and systems and where such items and services can be acquired only from the manufacturer or from a single distributor may be acquired, ordered or paid in the best interests of the department by the director within budget appropriations or other council authorization therefor.

- H. Routine expenses of government, such as utilities charges, governmental taxes, and governmental fees for licenses and permits may be acquired, ordered or paid in the best interests of the department by the director within budget appropriations or other council authorization therefor.
- I. The county executive or the director, if designated by the county executive, is hereby authorized to approve payments of claims, settlements and judgments, and to acquire, order and pay for professional and expert services in support of claims, settlements and litigation, as may be in the best interests of the department within budget appropriations or other council authorization therefor; provided, that this provision shall not apply to payments of claims, settlements and judgments related to torts claims and lawsuits against the department.
- J. Professional services to be performed for the department by other than employees, including but not limited to engineering, financial, marketing, appraisal and other specialized or professional services, the estimated cost of which exceeds \$25,000, shall be procured consistent with the following procedures.

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- The county executive or the director, if designated by the county executive, may authorize the procurement of professional services in the following circumstances:
- when the services cannot be performed on a timely basis by employees of the department,
- the services are not part of the routine assignments for such employees, or
- the services require specialized professional or technical expertise not available from such employees.
- The director shall cause a notice inviting statements of qualifications and/or proposals to be published in a newspaper of general circulation throughout King County at least ten days before the date for submitting such statements of qualifications and/or proposals. The request for statements of qualifications and/or proposals shall describe the services required, list the types of information and data required of each proposer, identify applicable minority/women/disadvantaged business enterprise and equal employment opportunity requirements, and state the relative importance of the evaluation criteria.
- 3. The director shall establish a selection board to review and evaluate statements of qualifications and/or proposals and, if appropriate, hear oral presentations by proposers. The selection board shall select and rank the most qualified proposers. In selecting and ranking such proposers, the selection board shall, at a minimum, consider the evaluation elements set forth under the competitive sealed bid provisions in this section. The ranking of proposers shall be

subject to approval by the director. If the director approves the ranking, the director may authorize contract negotiations with the top ranked proposer or proposers. Negotiations may be conducted concurrently or sequentially.

- 4. Contracts for architectural and engineering services shall be procured in accordance with Chapter 39.80 RCW. The provisions of K.C.C. 4.04.220 and 4.04.230 related to the selection of design consultants and the involvement of the Design Commission shall not apply to the selection of design consultants by the department.
- K. Any public work performed by employees of the department, the estimated cost of which exceeds the amount set forth in RCW 39.04.020, shall be performed consistent with the provisions of Chapter 39.04 RCW.
- L. Notwithstanding any other provisions of this chapter, the county executive or the director, if designated by the county executive, may make or authorize others to make emergency purchases of equipment, materials, supplies, services, or construction items pursuant to and in accordance with K.C.C. 4.16.050.
- M. The director may designate employees in the department to act as the purchasing agents of the department for the purpose of carrying out this section.
- N. The county executive and the director, if designated by the county executive, are authorized to enter into cooperative and/or joint agreements on behalf of the county with federal, state and local governments for the purchase of supplies, materials and equipment whenever in the judgment of

the county executive or the director, if designated, purchases may thereby be more advantageously made. Whenever supplies, materials and equipment are purchased for the department by such federal, state or local governments, such purchases may be accomplished in the manner prescribed by the provisions of applicable law, charter or chapter of such federal, state or local governments, rather than the provisions of this section. The federal, state or local governmental units shall be reimbursed for necessary costs of performing purchasing services for the department. Contracts for the purchase of supplies, materials and equipment by the department may be based on competitive sealed bids or competitive sealed proposals accomplished by federal, state or local governments in the manner prescribed by the provisions of applicable law, charter, chapter or regulations.

- O. Whenever in the judgment of the council special circumstances require a procedure different from that set forth herein, the council may authorize the making of purchases, the ordering of work or the performance of services in such other manner as may be deemed to be appropriate to the circumstances.
- P. The director shall establish procedures for considering and determining bid and proposal protests and appeals. The director shall render the final administrative determination on all such protests and appeals.
- Q. The director shall comply with the following procedures in contract debarment and suspension actions.
- 1. After reasonable notice to the person involved and reasonable opportunity for that person to be heard, the

director shall have authority to debar a person, firm or other legal entity for cause from consideration for award of contracts with the department. The debarment shall be for a period of not more than two years.

- 2. The director shall have the authority to suspend a person, firm or other legal entity from consideration for award of contracts if there is probable cause for debarment. The suspension shall be for a period of not more than six months.
- 3. The authority to debar or suspend shall be exercised in accordance with procedures established by the director.
- 4. The causes for debarment or suspension include the following:
- a. Conviction for commission of a criminal offense as an incident to obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of such contract or subcontract;
- b. Conviction under state or federal statutes of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or any other offense indicating a lack of business integrity or business honesty which currently, seriously, and directly affects responsibility as a contractor to the department;
- c. Conviction under state or federal antitrust statutes arising out of the submission of bids or proposals;
- d. Violation of contract provisions, such as the following, of a character which is regarded by the director to be so serious as to justify debarment action:

- (1) deliberate failure without good cause to perform in accordance with the specifications or within the time limit provided in the contract, or
- (2) substantial failure to comply with commitments to and contractual requirements for participation by women and minority business enterprises and equal employment opportunity, or
- (3) a recent record of failure to perform or of unsatisfactory performance in accordance with the terms of one or more contracts; provided that failure to perform or unsatisfactory performance caused by acts beyond the control of the contractor shall not be considered to be a basis for debarment;
- e. Violation of ethical standards set forth in contracts with the department; or
- f. Any other cause the director determines so serious and compelling as to affect responsibility as a contractor to the department, including debarment by another governmental entity for any cause similar to those set forth herein.
- 5. The director shall issue a written decision stating the reasons for the debarment or suspension. Such decision shall be promptly mailed or otherwise furnished to the debarred or suspended person and any other party intervening.
- 6. The director's decision of debarment or suspension, unless fraudulent, shall constitute the final and conclusive decision on behalf of King County.
- R. When a procurement involves the expenditure of federal or state assistance, grant or contract funds, and the method of

procurement required by such agency differs from the requirements of this section, the director shall conduct the procurement in accordance with any mandatory applicable federal or state laws and regulations.

- S. The director shall ensure the department complies with state law applicable to the award by the department of public contracts, including, without limitation, Title 39 R.C.W. and Chapter 60.28 R.C.W.
- T. The director is authorized to accept work upon completion or partial completion, as appropriate, performed under construction contracts by the department, and to take all actions necessary to effect such acceptance pursuant to applicable laws and within the terms of the contracts.
- U. The following provisions shall apply to privatization contracts entered into by the department:
- 1. The specifications for each privatization contract which, in order to enable a successful bidder or proposer to perform, involves construction, reconstruction, maintenance, or repair of a facility shall contain a provision stating the hourly minimum rate of wage, not less than the prevailing rate of wage, which may be paid to laborers, workmen, or mechanics in each trade or occupation required for such facility employed in the performance of such contract, either by the contractor, subcontractor, or other person doing or contracting to do the whole or any part of the work contemplated in the construction, reconstruction, maintenance, or repair of the facility and such contract shall contain a stipulation that such laborers,

workmen, or mechanics shall be paid not less than such specified hourly minimum rate of wage.

- 2. The director is authorized and directed to establish a process under which the payment of prevailing wage by such contractor or its subcontractors may be monitored and enforced.
- W. The following provisions shall apply to the purchase of diesel fuel for the department:
- 1. Periodically, but at least annually, the director shall establish a list of interested vendors of diesel fuel, after causing a notice inviting such interest to be published in a newspaper of general circulation throughout King County at least once a week for two consecutive weeks. The notice may be published in such additional newspapers or magazines and for such an additional period of time as the director shall deem to be in the best interest of the county.
- 2. From time to time, and whenever the department requires diesel fuel to meet the needs of its public transportation function, the director shall cause each person or firm on the interested vendors list established herein, to be solicited for a price quote. The director may reject all such quotes, but if the director elects to purchase, he/she shall only accept the lowest quoted price, subject to the provisions of this section.
- 3. The director shall annually set aside an amount equal to at least ten percent of the annual diesel fuel needs for minority and women business enterprise (M/WBE) participation, which shall be purchased solely through the procedures established hereunder. Until the annual set aside

is reached, price quotations for diesel fuel submitted by certified M/WBE's shall be subject to a five percent preference factor which shall be applied by deducting five percent from the lowest prices quoted by such M/WBE's, and then comparing said modified prices with the price submitted by other bidders or proposers not certified as M/WBE's. In the event that the foregoing procedure does not result in a purchase of diesel fuel from an M/WBE, the director shall conduct one or more purchases limited to participation by certified M/WBE's for the purposes of effectuating the intent of this section. For the purposes of this section, the term "M/WBE" shall mean those businesses or joint ventures as defined by the department's Minority/Women Business Enterprise program.

- 4. In addition to these provisions, diesel fuel may also be purchased through the competitive procurement procedures established by the council.
- 5. The director shall, at a minimum, maintain records for each solicitation or make reasonable attempt to solicit from each person or firm on the vendors list, the name of the person responding, and the price quoted, if any.
- X. In addition to the requirements of K.C.C. Chapter 3.04, the following provisions shall apply to the Code of Ethics for suppliers:
- 1. For the purposes hereof, the term "Supplier" shall include, but not be limited to, contractors, subcontractors and materials and equipment suppliers, and engineering, architectural, advertising, planning, management and financial

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consultants who are selected to perform or provide specific materials, work and services for the department.

- Each Supplier entering into contracts with the department to perform or to provide work, services, or materials, which costs the county in excess of \$2,500, shall covenant as follows:
- No person or selling agency except bona fide employees or designated agents or representatives of the Supplier has been or will be employed or retained to solicit or secure said contract with an agreement or understanding that a commission, percentage, brokerage, or contingent fee may be paid; and
- No gratuities, in the form of entertainment, gifts or otherwise, have been or will be offered or given by the Supplier or any of its agents, employees or representatives, to any official, member or employee of the department or other governmental agency with a view toward securing said contract or securing favorable treatment with respect to the awarding or amending thereof, or the making of any determination with respect to the performance of said contract.
- Each Supplier entering into a contract with the department to perform or to provide work, services, or materials, which costs the county in excess of \$2,500, shall covenant thereby that it has no direct or indirect pecuniary or proprietary interest, and that it shall not acquire any such interest, which conflicts in any manner or degree with the performance of the services required to be performed under said contract and that it shall not employ any person or agent

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having any such interest. In the event that a Supplier or its agents, employees or representatives acquire such a conflict of interest, it shall immediately disclose such interest to the director and take action immediately to eliminate the conflict or to withdraw from the contract as the director may require.

- If the director has reason to believe that the covenants set forth in this section have been breached, the director shall so notify the Supplier in writing. The Supplier shall respond to said notice within ten (10) days of receipt with a detailed written explanation or answer to any facts, allegations, or questions contained or referenced in the notice. The Supplier may also request a hearing on the matter by the director which shall be conducted within fifteen (15) days of the receipt by the director of the request unless a later date is concurred in by the director and the Supplier. The decision of the director shall be a prerequisite to appeal thereof to Superior Court. If, after consideration of Supplier's response and any hearing, the director determines that the covenants herein required have been breached, the director shall have the discretion to exercise those remedies provided by federal or state laws or regulations or by the contract in the event of said breach and/or prohibited conflicts of interest.
- The director shall insure that this section complies with all applicable laws and regulations of federal and state agencies providing financial assistance to department projects and operations. The director is also authorized and directed to take such actions as may be necessary to include the

covenants set forth herein within all department-Supplier contracts to which they apply.

((Y. The director shall take such steps as are necessary to ensure that products manufactured or fabricated in the Republic of South Africa are not acquired for installation or construction of facilities of the department.))

- ((Z)) Y. The following provisions shall apply to the disposition of surplus personal property of the department.

 The provisions of K.C.C. Chapter 3.58 shall not apply to the department except as specifically provided in this section.
- 1. Personal property with an estimated value of not more than \$30,000 no longer required for the purposes of the department, as determined by the director, may be sold or disposed of by the director in such manner as the director shall deem to be in the best interests of the department.

 Personal property with an estimated value in excess of \$30,000 shall be sold or disposed of as provided in this section, unless otherwise specified herein.
- 2. Personal property with an estimated value of more than \$30,000 and up to \$250,000 no longer required for the purposes of the department shall be sold or disposed of at public auction or by competitive bidding. If competitive bidding is selected by the director, the following procedures shall be followed.
- a. The director shall cause a notice advertising the sale of the properties or property rights and inviting sealed proposals therefor to be published in a newspaper of general circulation throughout King County at least once a week for two

consecutive weeks, the final publication of which shall be not more than two days before the opening of such proposals. The notice shall indicate the date and time of any public hearing on the disposition, describe in detail the properties and approved terms and conditions of payment and shall call for proposals to be sealed and filed with the council on or before the day and hour named therein. The notice may be published in additional newspapers or magazines and for additional periods of time, or other forms of advertising and publicity may be used as the director shall deem to be in the best interests of the department.

- b. At the time and place named, proposals received by the director shall be publicly opened and read and the director shall cause said proposals to be analyzed and thereafter make recommendations to the county executive as to the best proposal for each property, taking into consideration the price, terms and financing responsibility of the bidders and any other information relating to the sale or disposition of the property.
- c. The director shall have the right to reject any and all such proposals.
- d. If necessary, a public hearing on the disposition shall be conducted prior to consideration of action on the best proposal by the executive.
- 3. Whenever the director determines that unneeded and surplus properties of the department can be sold or disposed of only to a single source or under special conditions, the

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director may arrange for said sale or disposition subject to approval by the executive of the terms and conditions thereof.

- 4. The director shall carry out the purposes of this section and take such actions as may be necessary to facilitate the sale or disposition of personal properties. The county's purchasing agency within the department of executive administration may be utilized to sell or dispose of unneeded properties of the department whenever, in the judgment of the director, the sale or disposition thereof may be more advantageously made by said agents.
- 5. In compliance with the charter and K.C.C. 4.04.040.B.5, the executive or the director, if designated by the county executive, is authorized to sell, transfer, exchange, lease or otherwise dispose of any property to the state or any municipality or any political subdivision thereof, or the federal government, on such terms and conditions as may be mutually agreed upon by the proper authorities of said governmental agencies.
- 6. If personal property which had been acquired from a city or county without compensation is no longer required for department purposes, but is required by the city or county from which it was acquired, the director shall transfer said property to such city or county.
- 7. Unneeded personal properties may also be rented or leased to private corporations, associations, and individuals according to the procedures set forth in this section. Lease or rental agreements for a term of more than three years or for an annual rental of more than \$30,000 shall be approved in

advance by the county executive in accordance with the charter and K.C.C. 4.04.040.B.5. This provision shall not apply to other procedures applicable to the lease or rental of transit buses.

- 8. Whenever in the judgment of the council special circumstances require a procedure different from that set forth herein, the council may authorize the sale or disposition of unneeded property in such other manner as may be deemed to be appropriate to the circumstances.
- 9. Whenever the procedures of a grant agency having an interest in the property requires disposition in a manner different from the procedures set forth herein, the director shall dispose of such property in accordance with the procedures required by the grant agency.
- 10. Personal property with an estimated value of more than \$250,000 shall not be disposed of without prior approval by motion of the council.
- ((AA)) Z. The director is hereby authorized to take all actions necessary and appropriate to implement the policies and provisions set forth in this chapter.

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((BB)) AA. The director is authorized and directed to promulgate such rules, regulations and guidelines as the director deems necessary to carry out the purposes or provisions of this section.

SECTION 4. Ordinance 11032, Section 21, and K.C.C. 28.28 are ((is)) hereby amended to read as follows:

Real property. A. The following provisions shall govern the acquisition and disposition of real property, and interests therein, by the department. Provisions of the King County Code shall not apply to the department except as specifically provided in this chapter.

- B. The department shall comply with Chapter 8.26 RCW and the Uniform Relocation Assistance and Real Property Acquisition Policies Acts of 1970 and 1971, as amended, and with regulations promulgated thereto. The director is authorized to take such steps as are necessary to implement said requirements and regulations. The executive and the director, if designated by the executive, are authorized to enter into contracts with other public agencies of the state of Washington for the administration thereof on such terms and provisions as the executive deems appropriate.
- C. Temporary and permanent easements granted to the county which are necessary for the construction, operation and maintenance of facilities of the department may be executed by the executive or by the director, if designated by the executive, within budget appropriations or other council authorization therefor. Temporary and permanent easements for utility purposes may be granted by the executive or by the

director, if designated by the executive, if such easements will not interfere with or hinder the operations of the metropolitan public transportation or water pollution abatement functions, as applicable; provided, that utility easements that exceed \$30,000 in value shall be subject to prior approval by the council.

- D. Leasehold interests in real property which are necessary for the construction, operation and maintenance of facilities of the department may be acquired by the executive or by the director, if designated by the executive, in accordance with King County Charter Section 495 and K.C.C. 4.04.040 and within budget appropriations or other council authorization therefor.
- E. Interim lease or rental agreements of county-owned properties under the jurisdiction of the department which were acquired for metropolitan public transportation or water pollution abatement purposes may be executed by the executive or the director, if designated by the executive, upon compliance with the following provisions:
- 1. The director shall cause advertisements for bids or proposals for the lease of said properties including any improvements thereon to be published in a newspaper of general circulation in King County at least once a week for two consecutive weeks. Each advertisement shall state the location of the property to be leased, describe improvements and indicate whom to contact for information regarding the property or the lease. The director may refuse all offers and not lease the property, or readvertise.

- 2. With the approval of the executive, the director may negotiate a lease or rental agreement for said properties in lieu of advertising for bids or proposals.
- 3. Lease and rental agreements shall be based on fair market rental value as provided in K.C.C. 4.56.160; provided, that references to the "manager of the real property division" and "the real property division" shall mean the director and the department, respectively.
- 4. Lease agreements may have a term of not more than five years without prior approval of the council. K.C.C.
 4.56.180 and 4.56.190 shall be applicable to lease agreements with terms greater than five years.
- F. Real property shall be surplused and disposed of according to the following procedures:
- 1. The director shall conduct an annual review of all real property under the jurisdiction of the department and determine whether such real property is still required for the proper operations of the metropolitan public transportation and water pollution abatement functions. Real property no longer required for such operations may be declared surplus by the director.
- 2. The director shall notify other departments of the county of any surplus real property. If another department demonstrates a need for such real property, custodianship of the property shall be transferred to that department upon the transfer from that department of an amount equivalent to the fair market value of the property.

3. Prior to the sale of surplus real property, council approval shall be obtained in accordance with K.C.C. 4.56.080; provided, that for surplus real property for which a minimum sale value was established by the Metro Council prior to

January 1, 1994, and for which the department receives a final offer at not less than said minimum, and excepting the property commonly known as the West Seattle Boathouse property, located at the 1900 Block of Harbor Avenue Southwest, described as:

Parcel A: Lots 5 through 21, inclusive, Block 446 as shown on the Official Map of Seattle Tide Lands filed in the

Parcel A: Lots 5 through 21, inclusive, Block 446 as shown on the Official Map of Seattle Tide Lands filed in the Office of the Board of State Land Commissioner's of Olympia, Washington.

Parcel B: That portion of Lot 26, Block 72, Replat of the West Seattle Land and Improvement Company's Third Plat, according to the plat thereof recorded in Volume 9 of Plats, page 58, in King County, Washington, lying adjacent to Block 446, of Seattle Tide Lands to the southwesterly therof and easterly of S.W. Bluff Street.

All situated in King County, Washington. No approval of the council shall be required and the executive or the director, if designated by the executive, may execute documents to effect the sale thereof.

- 4. The director shall recommend to the executive whether the sale should be conducted by sealed bids, public auction or otherwise.
- 5. If the executive concurs in the sale by sealed bids, the director shall proceed as follows:

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a. The director shall cause a notice advertising the sale of the properties or property rights and inviting sealed bids or proposals therefor to be published in a newspaper of general circulation throughout King County at least once a week for two consecutive weeks, the final publication of which shall be not more than five days before the opening of such bids or proposals. The notice may be published in additional newspapers or magazines and for additional periods of time, or other forms of advertising and publicity may be used as the director shall deem appropriate and necessary.

b. The notice shall indicate the date and time of any public hearing, if required, on the disposition, describe in detail the properties and approved terms and conditions of payment, and shall call for bids or proposals to be sealed and filed with the department on or before the day and hour named therein.

c. At the time and place named, the bids or proposals, as the case may be, received by the director shall be publicly opened and read. The director shall cause said bids or proposals to be analyzed and thereafter make a recommendation to the executive as to the best bid or proposal for each property. In analyzing bids or proposals, the director shall take into consideration the price, terms and financing responsibility of the bidders or offerors and any other information relating to the sale or disposition of the property. The director may reject any and all such bids or proposals.

d. If necessary, a public hearing on the sale shall be conducted prior to the final decision to sell the surplus real property.

- 6. If the executive concurs in the sale by public auction, the director shall cause notice of the sale to be advertised as provided in K.C.C. 4.56.090.
- 7. If the executive concurs in the sale by means other than competitive process or public auction, the director shall follow whatever procedures are approved by the executive.
- 8. Sales or transfers of real property to public agencies, other than departments of King County, shall be administered in accordance with Chapter 39.33 RCW.
- G. When the council determines that real property which had been acquired from a component city or county without compensation is no longer required for the metropolitan function for which it was acquired, but is required by the city or county from which it was acquired, the council shall by ordinance transfer said property to such city or county.

1	H. Whenever the procedures of a grant agency having an
2	interest in the real property requires disposition in a manner
3	different from the procedures set forth herein, the director
4	shall dispose of such property in accordance with the
5	procedures required by the grant agency.
6	INTRODUCED AND READ for the first time this 200 day
7	of May , 1994.
8	PASSED by a vote of 13 to 0 this 6 th day of
9	February, 1995.
ا ۱	KING COUNTY COUNCIL
10 11	KING COUNTY, WASHINGTON
12	KING COUNTY, WASHINGTON
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$\begin{bmatrix} 14 \end{bmatrix}$	Kent Puller
15	Chair
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17	ATTEST:
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19	Dan & Masu
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21	DEPUTE clerk of the Council
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23	APPROVED this day of telory,
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25	1995.
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29	King County Executive
	King county Executive